

Enhanced Repertoire of Brain Dynamical States During the Psychedelic Experience

by

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Abstract: The study of rapid changes in brain dynamics and functional connectivity (FC) is of increasing interest in neuroimaging. Brain states departing from normal waking consciousness are expected to be accompanied by alterations in the aforementioned dynamics. In particular, the psychedelic experience produced by psilocybin (a substance found in "magic mushrooms") is characterized by unconstrained cognition and profound alterations in the perception of time,

space and selfhood. Considering the spontaneous and subjective manifestation of these effects, we hypothesize that neural correlates of the psychedelic experience can be found in the dynamics and variability of spontaneous brain activity fluctuations and connectivity, measurable with functional Magnetic Resonance Imaging (fMRI). Fifteen healthy subjects were scanned before, during and after intravenous infusion of psilocybin and an inert placebo. Blood-Oxygen Level Dependent (BOLD) temporal variability was assessed computing the variance and total spectral power, resulting in increased signal variability bilaterally in the hippocampi and anterior cingulate cortex. Changes in BOLD signal spectral behavior (including spectral scaling exponents) affected exclusively higher brain systems such as the default mode, executive control, and dorsal attention networks. A novel framework enabled us to track different connectivity states explored by the brain during rest. This approach revealed a wider repertoire of connectivity states post-psilocybin than during control conditions. Together, the present results provide a comprehensive account of the effects of psilocybin on dynamical behavior in the human brain at a macroscopic level and may have implications for our understanding of the unconstrained, hyper-associative quality of consciousness in the psychedelic state.

Key words: Psilocybin; fMRI; functional connectivity; resting state; psychedelic state

INTRODUCTION

Psilocybin (phosphoryl-4-hydroxy-dimethyltryptamine) is the phosphorylated ester of the main psychoactive compound found in magic mushrooms. Pharmacologically related to the prototypical psychedelic LSD, psilocybin has a long history of ceremonial use via mushroom ingestion and, in modern times, psychedelics have been assessed as tools to enhance the psychotherapeutic process [Grob *et al.*, 2011; Krebs *et al.*, 2012; Moreno *et al.*, 2006]. The subjective effects of psychedelics include (but are not limited to) unconstrained, hyperassociative cognition, distorted sensory perception (including synesthesia and visions of dynamic geometric patterns) and alterations in one's sense of self, time and space. There is recent preliminary evidence that psychedelics may be effective in the treatment of anxiety related to dying [Grob *et al.*, 2011] and obsessive compulsive disorder [Moreno *et al.*, 2006] and there are neurobiological reasons to consider their potential as antidepressants [Carhart-Harris *et al.*, 2012, 2013]. Similar to ketamine (another novel candidate antidepressant) psychedelics may also mimic certain psychotic states such as the altered quality of consciousness that is sometimes seen in the onset-phase of a first psychotic episode [Carhart-Harris *et al.*, 2014]. There is also evidence to consider similarities between the psychology and neurobiology of the psychedelic state and Rapid Eye Movement (REM) sleep [Carhart-Harris, 2007; Carhart-Harris and Nutt, 2014], the sleep stage associated with vivid dreaming [Aserinsky and Kleitman, 1953].

The potential therapeutic use of psychedelics, as well as their capacity to modulate the quality of conscious experience in a relatively unique and profound manner, emphasizes the importance of studying these drugs and how they act on the brain to produce their novel effects. One potentially powerful way to approach this problem is to exploit human neuroimaging to measure changes in brain activity during the induction of the psychedelic state. The neural correlates of the psychedelic experience induced by psilocybin have been recently assessed using Arterial Spin Labeling (ASL) and BOLD fMRI [Carhart-Harris *et al.*, 2012]. This work found that psilocybin results in a reduction of both CBF and BOLD signal in major subcortical and cortical hub structures such as the thalamus, posterior cingulate (PCC) and medial prefrontal cortex (mPFC) and in decreased resting state functional connectivity (RSFC) between the normally highly coupled mPFC and PCC. Furthermore, our most recent study used magnetoencephalography (MEG) to more directly measure altered neural activity post-psilocybin and here we found decreased oscillatory power in the same cortical hub structures [Muthukumaraswamy *et al.*, 2013, see also Carhart-Harris *et al.*, 2014 for a review on this work].

These results establish that psilocybin markedly affects BOLD, CBF, RSFC, and oscillatory electrophysiological measures in strategically important brain structures, presumably involved in information integration and routing [Carhart-Harris *et al.*, 2014; de Pasquale *et al.*, 2012; Hagmann *et al.*, 2008; Leech *et al.*, 2012]. However, the effects of psilocybin on the variance of brain activity parameters across time has been relatively understudied and this line of enquiry may be particularly informative in terms of shedding new light on the mechanisms by which psychedelics elicit their characteristic psychological effects. Thus, the main objective of this article is to examine how psilocybin modulates the dynamics and temporal variability of resting state

BOLD activity. Once regarded as physiological noise, a large body of research has now established that resting state fluctuations in brain activity have enormous neurophysiological and functional relevance [Fox and Raichle, 2007]. Spontaneous fluctuations self-organize into internally coherent spatiotemporal patterns of activity that reflect neural systems engaged during distinct cognitive states (termed "intrinsic" or "resting state networks" - RSNs) [Fox and Raichle, 2005; Raichle, 2011; Smith *et al.*, 2009]. It has been suggested that the variety of spontaneous activity patterns that the brain enters during task-free conditions reflects the naturally itinerant and variegated quality of normal consciousness [Raichle, 2011]. However, spatio-temporal patterns of resting state activity are globally well preserved in states such as sleep [Boly *et al.*, 2009, 2012; Brodbeck *et al.*, 2012; Larson-Prior *et al.*, 2009; Tagliazucchi *et al.*, 2013a,b,c] in which there is a reduced level of awareness - although very specific changes in connectivity occur across NREM sleep, allowing the decoding of the sleep stage from fMRI data [Tagliazucchi *et al.*, 2012c; Tagliazucchi and Laufs, 2014]. Thus, if the subjective quality of consciousness is markedly different in deep sleep relative to the normal wakeful state (for example) yet FC measures remain largely preserved, this would suggest that these measures provide limited information about the biological mechanisms underlying different conscious states. Similarly, intra-RSN FC is decreased under psilocybin [Carhart-Harris *et al.*, 2013] yet subjective reports of unconstrained or even "expanded" consciousness are common among users (see Carhart-Harris *et al.* [2014] for a discussion). Thus, the present analyses are motivated by the view that more sensitive and specific indices are required to develop our understanding of the neurobiology of conscious states, and that measures which factor in variance over time may be particularly informative.

A key feature of spontaneous brain activity is its dynamical nature. In analogy to other self-organized systems in nature, the brain has been described as a system residing in (or at least near to) a critical point or transition zone between states of order and disorder [Chialvo, 2010; Haimovici *et al.*, 2013; Tagliazucchi and Chialvo, 2011; Tagliazucchi *et al.*, 2012a]. In this critical zone, it is hypothesized that the brain can explore a maximal repertoire of its possible dynamical states, a feature which could confer obvious evolutionary advantages in terms of cognitive and behavioral flexibility. It has even been proposed that this cognitive flexibility and range may be a key property of adult human consciousness itself [Tononi, 2012]. An interesting research question therefore is whether changes in spontaneous brain activity produced by psilocybin are consistent with a displacement from this critical point - perhaps towards a more entropic or supercritical state (i.e. one closer to the extreme of disorder than normal waking consciousness) [Carhart-Harris *et al.*, 2014]. Further motivating this hypothesis are subjective reports of hyper-associative cognition under psychedelics, indicative of unconstrained brain dynamics. Thus, in order to test this hypothesis, it makes conceptual sense to focus on variability in activity and FC parameters over time, instead of the default procedure of averaging these over a prolonged period. In what follows, we present empirical data that tests the hypothesis that brain activity becomes less ordered in the psychedelic state and that the repertoire of possible states is enhanced. After the relevant findings have been presented, we engage in a discussion to suggest possible strategies that may further characterize quantitatively where the "psychedelic brain" resides in state space relative to the dynamical position occupied by normal waking consciousness.

MATERIALS AND METHODS

Study Overview and Design

This was a within-subjects placebo-controlled study. The study was approved by a local NHS Research Ethics Committee and University of Bristol Research and Development department, and conducted in accordance with Good Clinical Practice guidelines. A Home Office License was obtained for storage and handling of a Schedule 1 drug and the University of Bristol sponsored the research.

Participants

This is a new analysis on previously published data [Carhart-Harris *et al.*, 2012, 2013]. Fifteen healthy subjects took part: 13 males and 2 females (mean age = 32, SD = 8.9). Recruitment was via word of mouth. All subjects were required to give informed consent and undergo health screens prior to enrollment. Study inclusion criteria were: at least 21 years of age, no personal or immediate family history of a major psychiatric disorder, substance dependence, cardiovascular disease, and no history of a significant adverse response to a

hallucinogenic drug. All of the subjects had used psilocybin at least once before (mean number of uses per subject = 16.4, SD = 27.2) but not within 6 weeks of the study.

Anatomical Scans

Image acquisition was performed on a 3T GE HDx system. Anatomical scans were performed before each functional scan and thus during sobriety. These were three-dimensional fast spoiled gradient echo scans in an axial orientation, with field of view = 256 x 256 x 192 and matrix = 256 x 256 x 192 to yield 1 mm isotropic voxel resolution (repetition time/echo time [TR/TE] = 7.9/3.0 ms; inversion time = 450 ms; flip angle = 20°).

Drug Infusion

All subjects underwent two 12 min eyes closed resting state BOLD fMRI scans on two separate occasions at least 7 days apart: placebo (10 ml saline, 60 s intravenous injection) was given on one occasion and psilocybin (2 mg dissolved in 10 ml saline, 60 s infusion) on the other. Seven of the subjects received psilocybin in scan 1, and 8 received it in scan 2. Injections were given manually by a doctor within the scanning suite. The 60 s infusions began exactly 6 min after the start of the 12 min scans. The subjective effects of psilocybin were felt almost immediately after injection and were sustained for the remainder of the RS scan. For more details on the subjective effects of intravenous psilocybin see [Carhart-Harris *et al.*, 2012, 2013].

fMRI Data Acquisition and Preprocessing

BOLD-weighted fMRI data were acquired using a gradient echo planar imaging sequence, TR/TE 3,000/35 ms, field-of-view = 192 mm, 64 x 64 acquisition matrix, parallel acceleration factor = 2, 90° flip angle. Fifty-three oblique axial slices were acquired in an interleaved fashion, each 3 mm thick with zero slice gap (3 x 3 x 3 mm voxels). A total of 240 volumes were acquired, with infusion taking place in the middle of the session. Data were motion corrected using FSL MCFLIRT and a high-pass filter of 100 s was applied. Data were smoothed using a Gaussian kernel of 5 mm FWHM. Motion time courses were regressed from the data during the preprocessing step, together with average CSF and white matter time courses. As an additional control to exclude epochs of large movement amplitude, all volumes associated with a mean head displacement larger than 1 SD were erased from the analysis.

Analysis of Spatiotemporal Dynamics

The methods here employed are based on a statistical physics framework useful for characterizing fluctuations in systems composed of large number of coupled degrees of freedom. From this perspective, fluctuating activity in a particular region and correlations between different regions are interdependent or related [Ross, 1966]. Therefore, any change in the cortical dynamics due to a given intervention is expected to be reflected both at the level of the variance of the activity at one region and in the strength of the interactions between different regions. In what follows, the methodology accounting for these two aspects (i.e. the temporal variability and the dynamical changes in correlations) are explained in detail. All the numerical analyses for these calculations were performed using in-house MATLAB scripts.

Analysis of Temporal Variability

The analysis referred to here as "temporal variability" is concerned with the variance in the amplitude of the BOLD signal and it can be expressed both in a temporal (i.e., the standard variance) and frequency domain, as will be explained below. As a time domain measure of variability in the amplitude of the BOLD signal, straightforward voxel-wise computation of BOLD variance was performed, resulting in whole-brain BOLD variance maps for each condition (psilocybin/placebo before and after infusion) and participant. An additional evaluation of variance can be performed in the frequency domain, noting that by Parseval's theorem:

$$(1) \int x^2(t)dt = \int |A(f)|^2 df$$

Then, the following series of equalities holds (for a signal with zero mean):

$$(2) \sigma^2 = \lim_{T \rightarrow \infty} \frac{1}{T} \int_{-T/2}^{T/2} x^2(t)dt = \lim_{T \rightarrow \infty} \frac{1}{T} \int |A(f)|^2 df = \int \Phi(f)df$$

In Eqs. (1) and (2), $A(f)$ represents the Fourier transform of $x(t)$ and $\Phi(f)$ is the power spectral density. Therefore, the variance can also be obtained by integrating $\Phi(f)$ across the whole range of frequencies.

Further Spectral Analysis of BOLD Fluctuations

Equations (1) and (2) show that the integral of the power spectral density equals the variance of the signal. If this integral is not performed across all frequencies but in a certain range, the result will correspond to the contribution of the frequencies to the variance in the specified ranges. It is generally considered that slow (0.01-0.1 Hz) BOLD frequencies carry neural significance in the resting state fMRI signal [Cordes *et al.*, 2001], therefore, we evaluated separately the low frequency power (LFP) in this range and compared it before and after the psilocybin infusion.

Given the fact that the spectral content of spontaneous BOLD fluctuations, like many other complex systems in nature, follows a power law of the form $1/f^\alpha$ [Expert *et al.*, 2011], its power spectrum density can also be characterized by a single parameter (α). This parameter condenses the scaling behavior and is demonstrative of the longrange temporal correlations (or memory) of any given signal. Thus, for uncorrelated noise, $\alpha = 0$ (i.e. a flat spectrum, or so called "white noise") is obtained, whereas for signals presenting some degree of long-term correlations, values of $\alpha > 0$ (i.e., the so called "colored noise") are observed. To obtain the scaling exponent α , the first derivative of BOLD time series was first computed (in order to minimize the influence of nonstationarities) and the fit was performed on the spectrum of the derivative. Note that for a power spectrum of the form $1/f^\alpha$, differentiation decreases the value of α by 2, so the exponent of the original time series can be recovered from that of the derivative.

Point-Process Analysis

A recent series of studies demonstrated that the continuous BOLD signal can be transformed into a discrete point-process encoding the timings of the most functionally relevant events [Petridou *et al.*, 2012, Davis *et al.*, 2013; Tagliazucchi *et al.*, 2010a,b, 2012a]. In this approach, relevant events are defined by a threshold crossing (e.g. whenever the signal departs + SD from its mean value). Besides allowing a dramatic compression of the information present in a BOLD timeseries, this approach has the particular benefit of allowing a simple criterion to eliminate motion artifacts: i.e. "points" (threshold crossings) occurring during high movement epochs (i.e. larger than a certain value) are simply ignored in the analysis.

Two interdependent observables are defined once the point-process is obtained: the rate (number of crossings divided by the series length) and inter-event intervals (average temporal separation between two consecutive points). On average, there is a clear inverse relationship between these two variables. Furthermore, the rate is expected to increase (or the interval to decrease) for a signal with a high contribution of fast frequencies.

As an efficient alternative to the spectral analysis described in the previous section, we computed the voxel-wise distribution of rates and intervals and compared it between the different conditions.

Computation of Dynamical Functional Connectivity States and its Associated Entropy

As already discussed above, for spatio-temporal fluctuations arising from the dynamics of large scale systems, the relation between the temporal fluctuations of the average signal and its spatial correlation function is well defined [Ross, 1966]. It is known that under general assumptions, the mean field peak-to-peak amplitude of a signal (or its variance) is directly proportional to its mean correlation value. Intuitively, this is analogous to the principle that synchronized/desynchronized clapping produces stronger/weaker collective effects. Therefore, to complement the investigation of regional changes in the variance of the BOLD signal in various regions of the cortex, it is logical to also look at measures of the mean functional correlations between participating regions. Given the highly transient nature of these correlations, we term these indices "dynamical functional connectivity states". They are computed as follows (see Fig. 4 for an illustration of the general procedure).

| MNI coordinates | AAL region | Hemisphere | t-value |
|--|-----------------------|------------|---------|
| BOLD variance (after psilocybin > before psilocybin) | | | |
| (-34, -22, -16) | Hippocampus | Left | 4.51 |
| (26, -22, -16) | Hippocampus | Right | 3.54 |
| (-2, 22, 28) | Anterior cingulate | Left | 3.72 |
| (4, 34, 18) | Anterior cingulate | Right | 3.74 |
| BOLD total spectral power (after psilocybin > before psilocybin) | | | |
| (32, -14, -13) | Hippocampus | Right | 4.03 |
| (-26, -18, -15) | Hippocampus | Left | 4.39 |
| (-26, 1, 38) | Parahippocampal Gyrus | Left | 4.77 |
| (37, -2, -38) | Parahippocampal Gyrus | Right | 4.55 |
| (7, 27, 17) | Anterior cingulate | Right | 4.02 |
| (-7, 43, -2) | Anterior cingulate | Left | 4.12 |

Table I: Regions corresponding to local maxima of statistical significance (provided they are more than 8 mm apart) for increased cortical BOLD variance and total spectral power after psilocybin infusion (compared to before psilocybin infusion).

Montreal Neurological Institute (MNI) coordinates and Automatic Anatomical Labeling (AAL) regions are provided.

The BOLD time series is first divided into M non-overlapping windows of length L . Then, the partial correlation values between a set of N brain regions of interest is computed inside each temporal window as follows,

$$(3) \quad R_c(X, Y) = \min R(X, Y|Z)$$

where $R(X, Y|Z)$ is defined as follows,

$$(4) \quad R(X, Y|Z) = \frac{R(X, Y) - R(Y, Z)}{\sqrt{1 - R(X, Z)^2}}$$

In Eq. (3), the minimum is taken across all signals Z different from X, Y . In Eq. (4), $R(X, Y)$ represents the linear correlation between variables X and Y . Thus, the partial correlation $R_c(X, Y)$ measures the correlation of both variables removing the effect of a set of controlling variables. In what follows, the set of variables will include all BOLD signals from the N brain regions under study plus the time series of absolute head displacement (to remove spurious correlations), as estimated during the motion correction step. Finally, for each pair of regions a link is established between them if the correlation P value is significant at the level of $P < 0.05$, Bonferroni corrected ($N(N - 1)/2$). Performing this computation for all temporal windows gives the temporal evolution of the connectivity graph.

For N brain regions, the total number of dynamical functional connectivity graphs (i.e. all possible connectivity motifs) equals $2^{(N(N-1)/2)}$. For example, for $N = 3, 4, 5$, the number of states is 8, 64, 1,024. Once the dynamical functional connectivity states are obtained by the procedure above described, a symbolization procedure can be used to map the limited amount of states into discrete symbols (a bijection or one-to-one mapping of each temporal sequence of states into "words" - whose letters represent the different connectivity graphs). Then, the entropy of this sequence can be computed using the straightforward definition of Shannon's entropy:

$$(5) \quad H = \sum_{i=1}^{N(N-1)/2} p_i \log(1/p_i)$$

where p_i is the probability of the i th state occurring in the sequence. We evaluate the entropy of dynamical functional connectivity states in the network of regions of peak statistical significance presented in Table I and Figure 1 (i.e., the left and right hippocampus and ACC). This choice is motivated by the well-known observation that, for a system of coupled elements, the temporal variability of the activity time series is driven by variability in the collective interactions [Ross, 1966]. This process resulted in four regions and a total of 64 connectivity states (i.e. the number of possible dynamical interaction motifs between the four nodes).

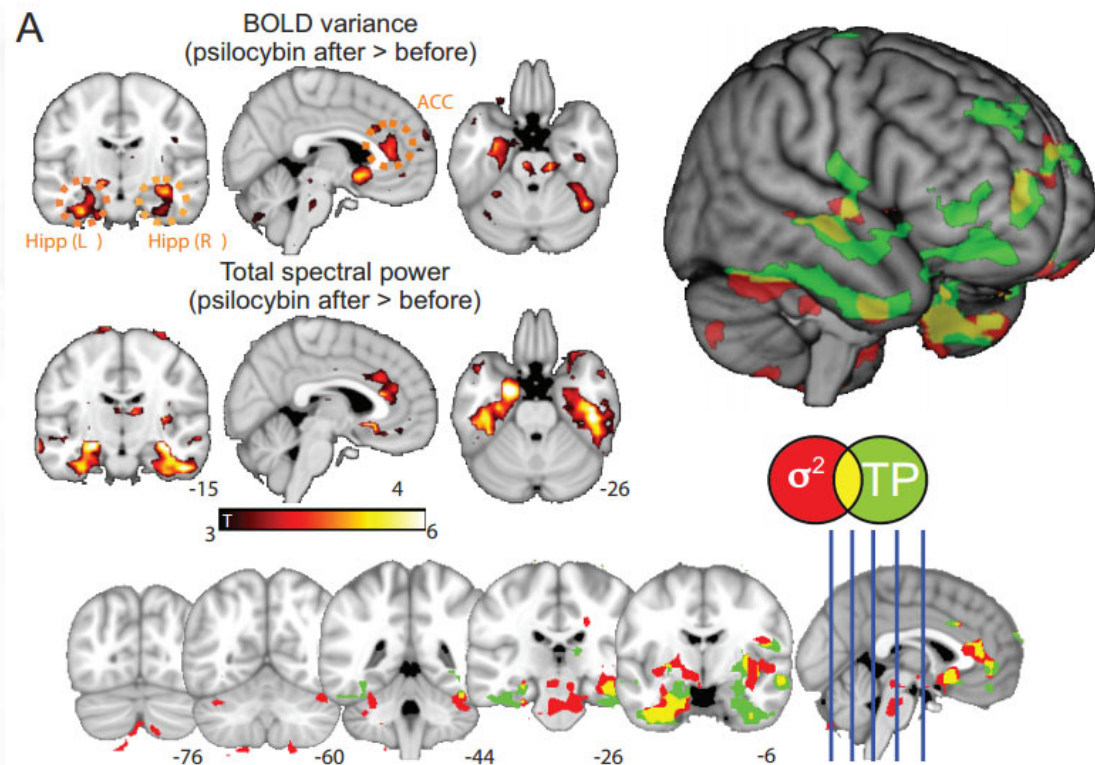


Figure 1. Psilocybin infusion modifies the temporal variability of BOLD signal in a network comprising anterior cingulate cortex (ACC) and bilateral hippocampus. **A)** Maps of statistical significance for variance (σ^2) and total spectral power (TP) increases after psilocybin infusion. Results are shown overlaid separately into an anatomical MNI152 template, overlaid together and also rendered together into a three-dimensional anatomical image. In all cases only clusters surviving a threshold of $P < 0.05$, Family Wise Error (FWE) cluster corrected (after passing an uncorrected threshold of $P < 0.005$) are shown.

Statistical Testing

To perform statistical significance testing, a paired t -test was used, as implemented in SPM8. Only clusters passing a threshold of $P < 0.05$ Family Wise Error (FWE) corrected for multiple comparisons were considered significant. For display purposes, significance maps were thresholded at $P < 0.005$, showing only clusters passing the above mentioned criterion. Significance testing for the entropy differences reported in Figure 5 was also evaluated using a paired t -test.

RESULTS

The statistical significance maps for the BOLD signal variance and total spectral power can be found in Figure 1A and the significance peaks are summarized in Table I (in this and the following sections, unless explicitly stated no differences were found by examining the opposite contrasts). Both measures show increased variability following psilocybin administration - both in the temporal and spectral domain - with peaks in the anterior cingulate cortex and bilateral hippocampus (total spectral power is also increased in the bilateral parahippocampal gyri). As shown in Figure 1 there is a large overlap in the regions affected by both measures, as can be expected from Eq. (2). The differences found for the power spectral estimation in the parahippocampal gyrus are not apparent for the variance. Most likely this discrepancy is due to the numerical evaluation of these two equivalent quantities.

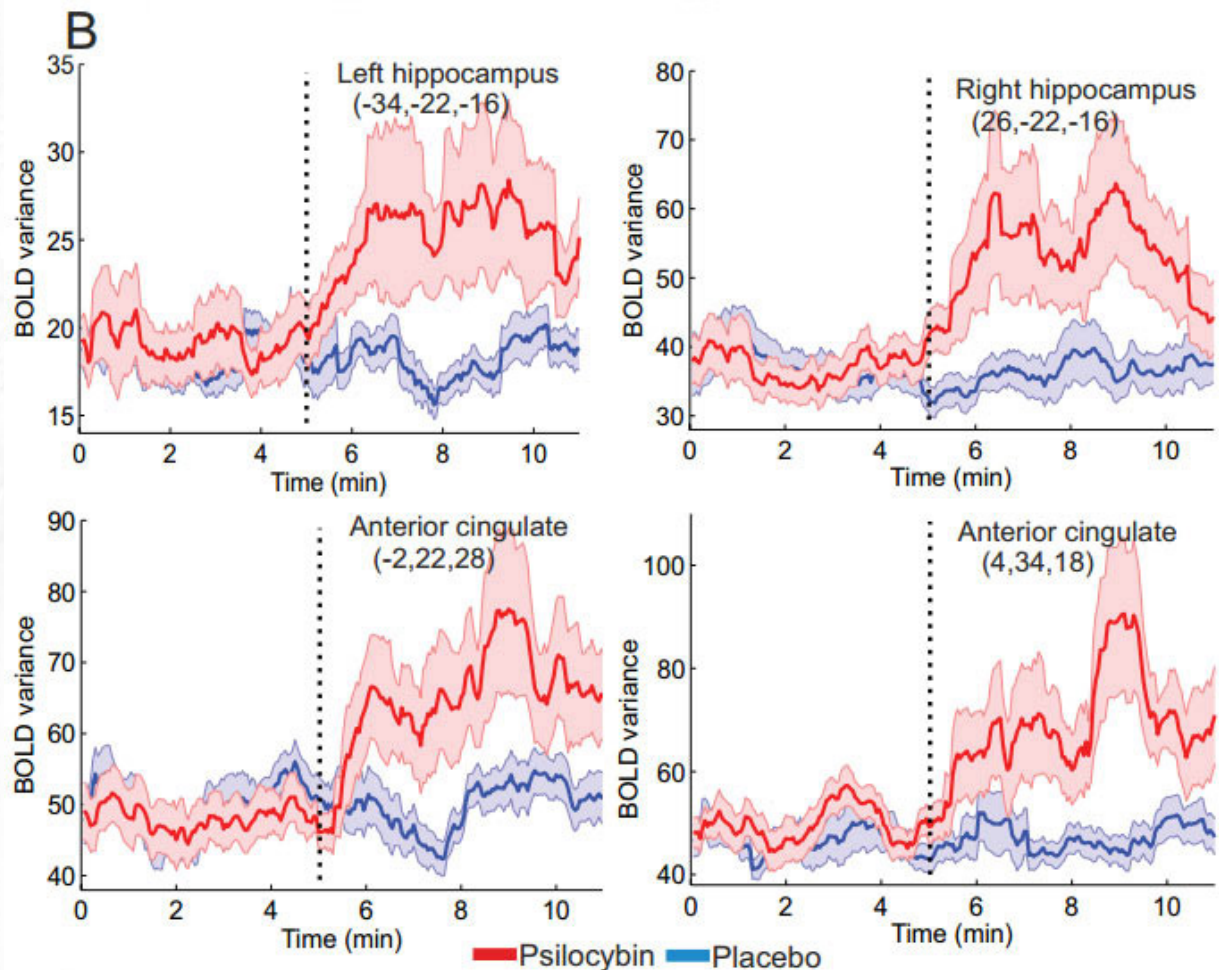


Figure 1B. BOLD Variance time courses (obtained over a 1 min. sliding window) into the four regions of peak statistical significance defined in Table I for the psilocybin and the placebo infusion.

To find the temporal evolution of the variance increases, we selected the four significance peaks for BOLD variance as ROIs (Table I) and used a sliding window analysis (1 min. window length) to compute the evolution of the variance. Since these ROI are selected as regions of high variance, it is already known that fluctuations in their activity will have an increased variance relative to baseline. However, this analysis provides additional information about when those increases occurred (e.g. just after the psilocybin infusion or later). In Figure 1B the results of this analysis are presented. A homogeneous increase in signal variance is observed during the first 3 min post-psilocybin infusion, with a tendency to plateau or slightly decrease afterwards. A longer experiment would be needed to confirm the persistence of this effect, but it does seem to correspond well with the known pharmacodynamics of intravenous psilocybin, i.e. subjects reported the most intense subjective effects within the first 3 min of the infusion and the effects were persistent for the duration of the scan [Carhart-Harris *et al.*, 2012, 2013].

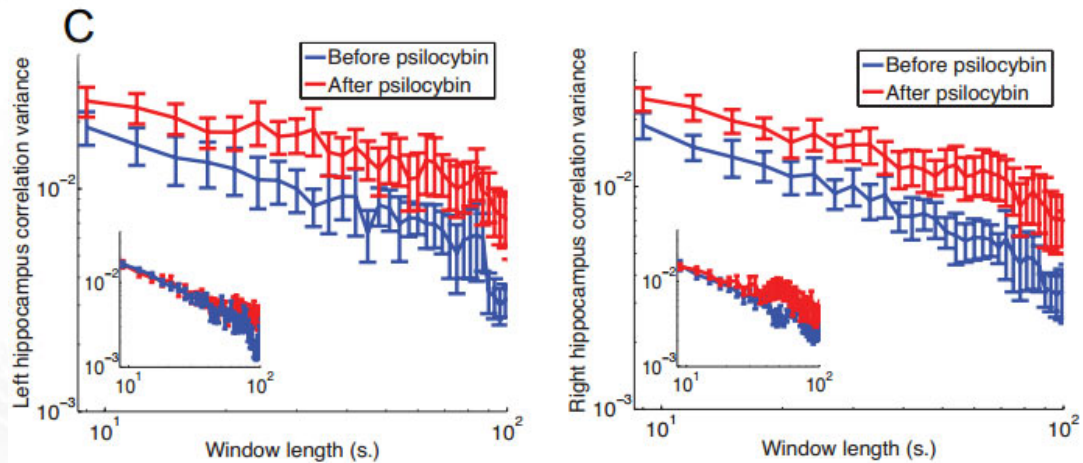


Figure 1C. Variance of the time course of intra-hippocampal correlations (computed over a range of non-overlapping window lengths) before and after psilocybin infusion. Results for placebo infusion are shown as insets (before and after in blue and red, respectively).

We also studied the variance of intra-hippocampal connectivity time courses, computed as the linear correlation of all hippocampal voxels over nonoverlapping windows of different lengths. Results are shown in Figure 1C. It can be seen that the variance is higher in both hippocampi after the psilocybin infusion.

Next, we studied the spectral content of spontaneous BOLD fluctuations. This was done by computing the low frequency (0.01-0.1 Hz) power (LFP) and the power spectrum scaling exponent (α). Statistical significance maps are presented in Figure 2A and statistical significance peaks in Table II.

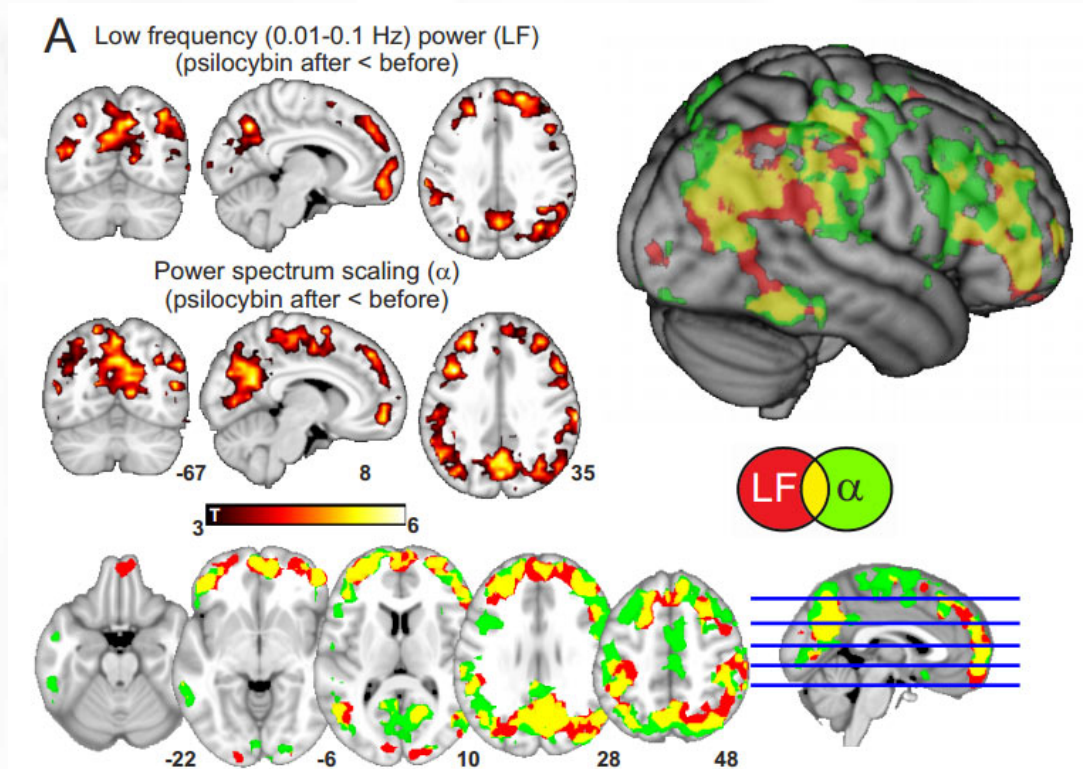


Figure 2. Psilocybin infusion modifies BOLD spectral content in a distributed fronto-parietal network. A) Maps of statistical significance for decreased low frequency power (LFP) and power spectrum scaling exponent α after psilocybin infusion. Results are shown overlaid separately into an anatomical MNI152 template, overlaid together and also rendered together into a three-dimensional anatomical image. Notice that in all cases only clusters surviving a threshold of $P < 0.05$, FWE cluster corrected (after passing an uncorrected threshold of $P < 0.005$) are shown.

After psilocybin infusion, diffuse widespread decreases in LFP and the scaling exponent α were observed in frontal and parietal regions. Changes in LFP and the scaling exponent (α) were found consistently in the same spatial locations, which is expected since a scaling closer to that of uncorrelated noise (i.e. an α value closer to 0) will result in weaker low frequency spectral power. These effects were confined to the post-psilocybin

period. No differences were found when performing the same comparison in the placebo condition.

To further characterize BOLD fluctuations, we transformed whole brain signals into a spatio-temporal pointprocess and extracted two statistics: the rate (average number of events) and the interval (average separation between two events). By the very definition of the pointprocess, a signal with high power in fast frequencies will give a high rate and therefore a low separation between events i.e., the average interval will be small. Results shown in Figure 2B confirm this observation. Statistical significance peaks are presented in Table III. A rate increase and interval decrease after psilocybin was observed in parietal and frontal regions largely overlapping with those of Figure 2A. No differences were found when performing the same comparison in the placebo condition.

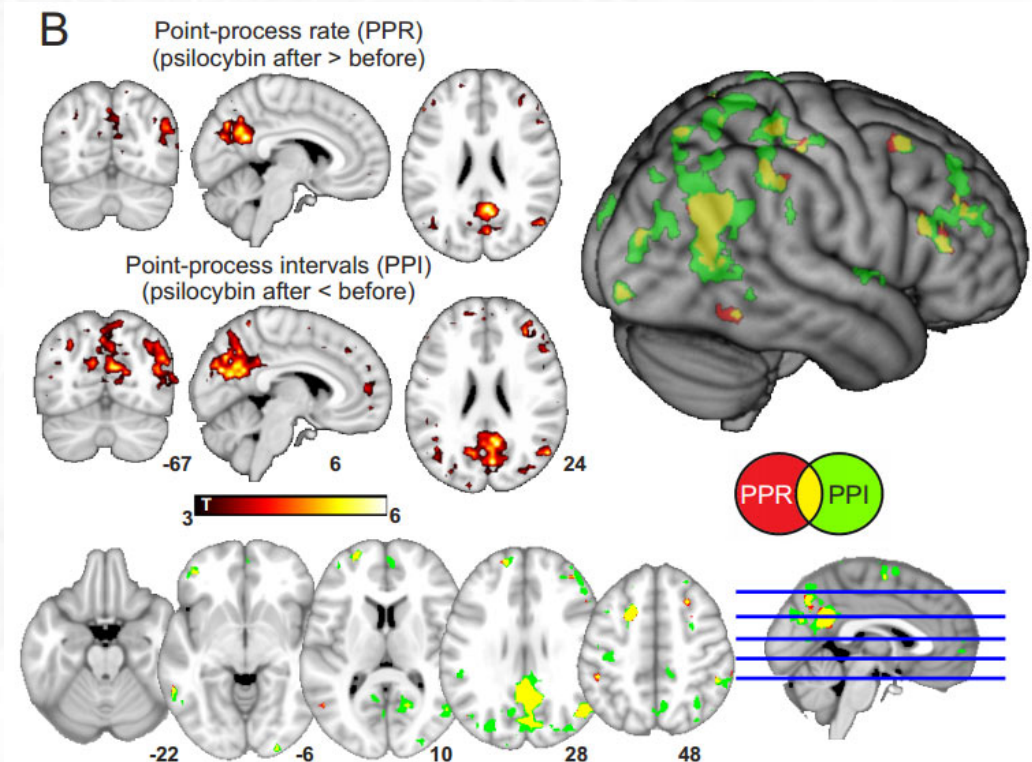


Figure 2B. Maps of statistical significance of increased power point rate (PPR) and decreased point process interval (PPI) after psilocybin infusion (same renderings and statistical thresholds as in A).

To identify the brain networks associated with the changes shown in Figure 2A,B, we computed the overlap of the statistical significance maps with a set of well-established Resting State Networks (RSNs) [Beckmann and Smith, 2004; Beckmann *et al.*, 2005]. These included: two visual (medial and lateral), an auditory, sensori-motor, default mode, executive control and two lateralized dorsal attention networks.

To compute the overlap for a given map, we counted the number of voxels included in each RSN and normalized by the total number of voxels in the RSN mask. Using this approach, larger maps present a higher chance of having large overlaps, therefore, we constructed a null-hypothesis by randomizing the phases of the maps (after transforming to Fourier space), resulting in images with the same second order statistics [see also Tagliazucchi *et al.*, 2013c]. A total of 100 randomizations were performed for each comparison and an empirical p-value was constructed, counting the ratio of instances in which the real overlap exceeded the overlap computed with the randomized map. The results of these analyses are presented in Figure 3. For LFP and α , significant overlaps were detected in the default mode, control and attention networks, whereas all sensory networks remained unaffected. For the point-process rate (PPR) and point-process interval (PPI) the changes were locally confined to the default mode network.

Next, the dynamic functional connectivity states were obtained from the set of regions showing increased temporal variability (Fig. 1 and Table I) by applying the analysis outlined in Figure 4. Using different window lengths (ranging from 15 to 150 s.) we evaluated the entropy of the distribution of connectivity states in the network comprised by two ACC ROIs and the bilateral hippocampi. An entropy increase was found when comparing the results between the periods before and after the psilocybin infusion and after the psilocybin

infusion vs. after the placebo infusion, but no changes were observed when comparing before and after placebo (see Fig. 5A). The entropy increase was not seen for very short window lengths but was manifest for all lengths larger than approximately 1 min.

| MNI coordinates | AAL region | Hemisphere | t-value |
|--|--------------------------------|------------|---------|
| BOLD low frequency power (after psilocybin < before psilocybin) | | | |
| (11, -60, 40) | Precuneus | Right | 4.92 |
| (38, -67, 44) | Angular gyrus | Right | 4.60 |
| (-34, -69, 44) | Angular gyrus | Left | 5.05 |
| (-39, -42, 42) | Inferior parietal cortex | Left | 4.66 |
| (-39, 45, -3) | Middle frontal gyrus | Left | 5.10 |
| (47, 44, -3) | Inferior frontal gyrus | Right | 5.08 |
| (5, 56, -9) | Middle frontal gyrus (orbital) | Right | 4.28 |
| BOLD power spectrum scaling exponent α (after psilocybin < before psilocybin) | | | |
| (4, -59, 33) | Precuneus | Right | 4.86 |
| (53, -61, 33) | Angular gyrus | Right | 4.31 |
| (-48, -55, 34) | Angular gyrus | Left | 4.20 |
| (-40, -34, 60) | Postcentral gyrus | Left | 4.80 |
| (6, -22, 60) | Paracentral lobule | Right | 4.79 |
| (50, -30, 60) | Superior parietal cortex | Right | 5.73 |
| (-41, 14, 33) | Middle frontal gyrus | Left | 4.32 |
| (52, 7, 28) | Precentral gyrus | Right | 5.12 |
| (38, 41, 28) | Middle frontal gyrus | Right | 6.22 |
| (-27, 43, 28) | Middle frontal gyrus | Left | 5.10 |
| (10, 55, -6) | Middle frontal gyrus (orbital) | Right | 4.48 |

Table II: Regions corresponding to local maxima of statistical significance (provided they are more than 8 mm apart) for decreased cortical BOLD low frequency power and power spectrum scaling exponent after psilocybin infusion (compared to before psilocybin infusion).

| MNI coordinates | AAL region | Hemisphere | t-value |
|---|--------------------------------|------------|---------|
| Point-process rate (after psilocybin > before psilocybin) | | | |
| (5, -52, 25) | Precuneus | Right | 4.47 |
| (47, -66, 29) | Angular gyrus | Right | 3.99 |
| (-41, -66, 24) | Angular gyrus | Left | 2.84 |
| (-41, 47, -5) | Middle frontal gyrus (orbital) | Left | 2.82 |
| (-41, 41, 24) | Middle frontal gyrus | Left | 2.97 |
| (35, 40, 24) | Middle frontal gyrus | Right | 3.14 |
| Point-process interval (after psilocybin < before psilocybin) | | | |
| (5, -57, 25) | Precuneus | Right | 4.06 |
| (50, -58, 36) | Angular gyrus | Right | 3.98 |
| (-34, -66, 36) | Angular gyrus | Left | 3.00 |
| (-37, -32, 62) | Postcentral gyrus | Left | 3.35 |
| (41, -39, 62) | Paracentral lobule | Right | 3.18 |
| (-26, -9, 60) | Postcentral gyrus | Left | 3.59 |
| (28, 17, 54) | Middle frontal gyrus | Right | 3.72 |
| (-20, 15, 54) | Superior frontal gyrus | Left | 2.87 |
| (-22, 59, 12) | Superior frontal gyrus | Left | 3.54 |
| (4, 54, 6) | Anterior cingulate | Right | 3.29 |

Tabela III: Regions corresponding to local maxima of statistical significance (provided they are more than 8 mm apart) for increased cortical point-process rate (PPR) and decreased point-process interval (PPI) after psilocybin infusion (compared to before psilocybin infusion).

The most frequent states in each condition are shown in Figure 5B. These have sparse connectivity and

represent functional connections between homologous ROIs and between ROIs in the same hemisphere, but cross-hemisphere connections between hippocampal and ACC ROIs also appear in the psilocybin condition. The psilocybin state is also characterized by a larger repertoire of states: i.e. novel motifs that are exclusive to the psychedelic state and which are shown in the last row of Figure 5B. These motifs are among the most interconnected states possible.

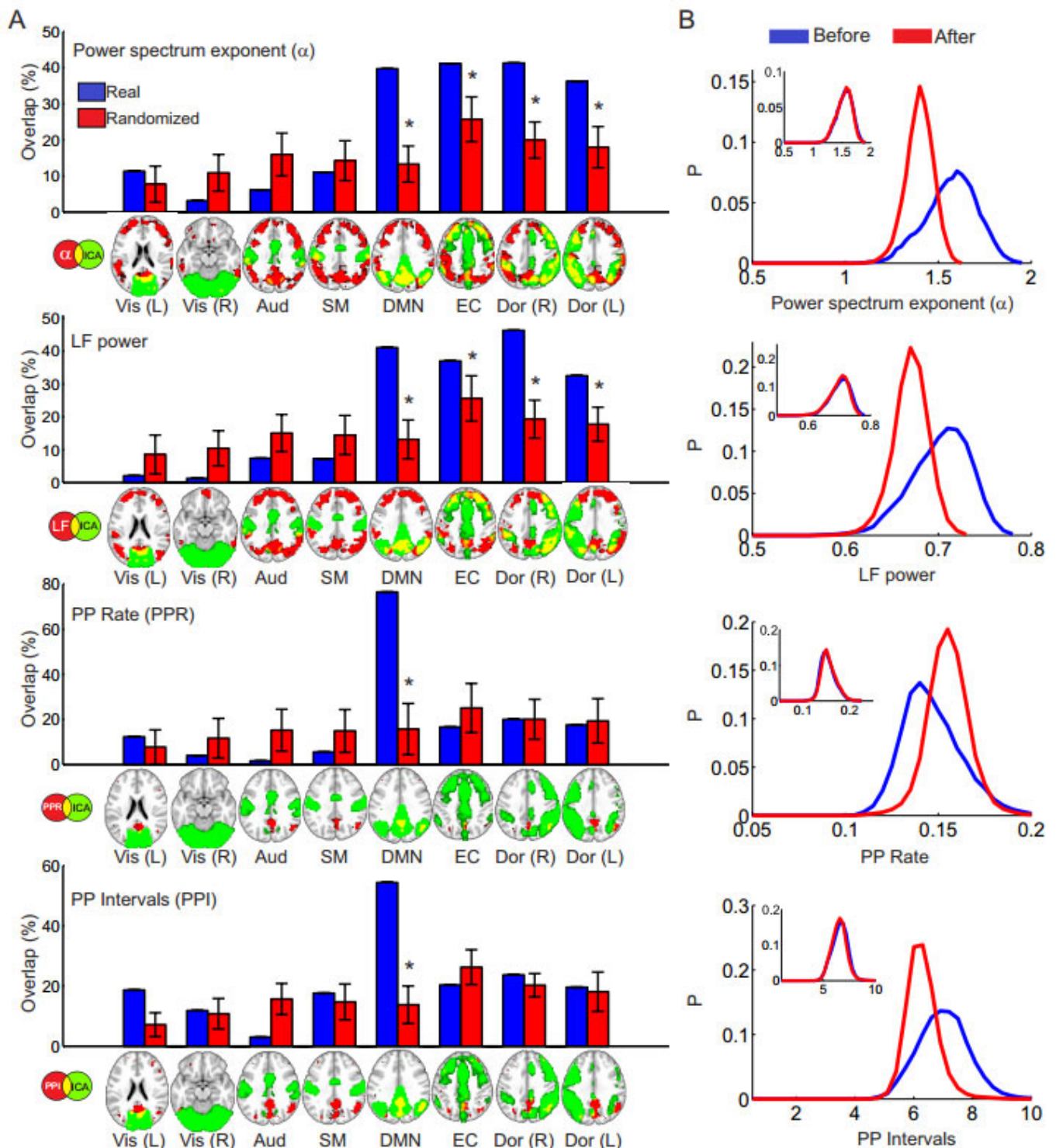


Figure 3. BOLD spectral changes after psilocybin infusion are located in higher order brain networks and leave primary sensory areas unaffected. **A)** Overlap between statistical significance maps presented in Figure 2 and a group of well-established cortical RSN (from Beckmann *et al.* [2005]) together with the average overlap obtained after 100 spatial randomizations preserving first order statistics (image phase shuffling). (*) indicates an empirical P value smaller than 0.05, Bonferroni corrected. This P value is defined as the ratio of instances in which the real maps yield a higher overlap than the randomized versions. **B)** Whole brain grey matter average probability distributions for α , LFP, PPR, and PPI, before and after psilocybin infusion. In the inset, the same distributions are shown before and after the placebo infusion.

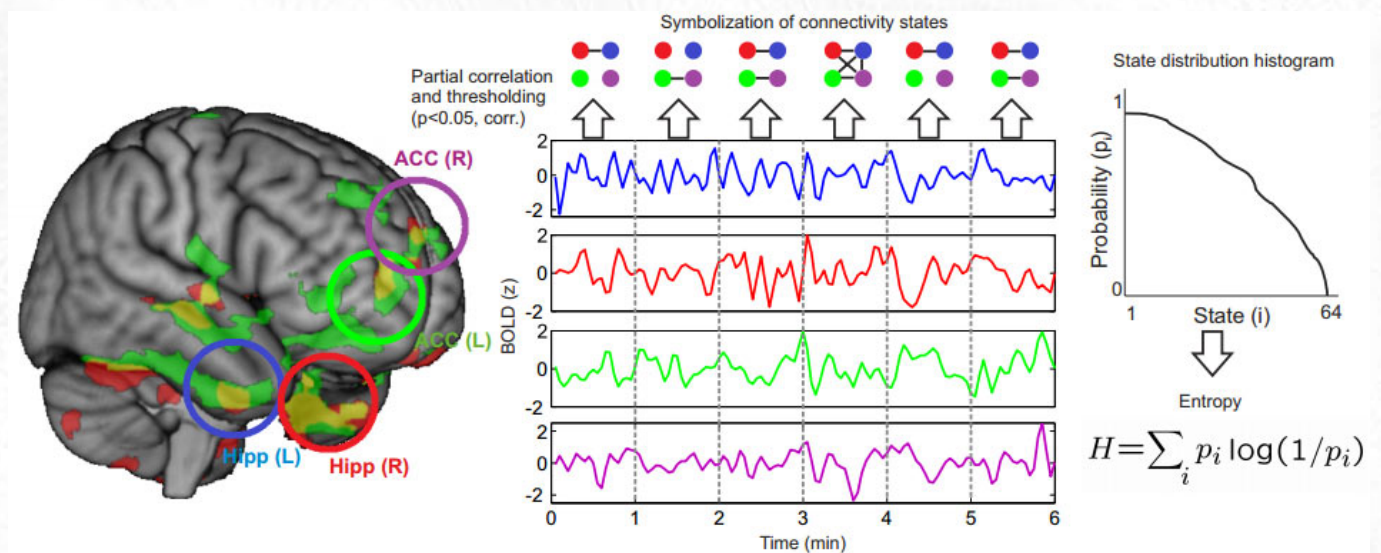


Figure 4. Entropy of the dynamical functional connectivity states. Illustration of the procedure to estimate the temporal evolution of the collective states (i.e. all possible 64 motifs) in the network of regions associated with increased temporal variability (bilateral hippocampi and ACC). After selecting the four regions of interest demonstrating enhanced variability after psilocybin infusion (left and right hippocampus, left and right ACC), the partial correlation between all variables is computed (including also the mean head displacement time series as a partial regressor). After thresholding (with $P < 0.05$, corrected) a series of up to 64 discrete connectivity states are obtained from which the probability distribution can be computed. Finally, from this information, histograms of states (provided here as an illustration) and their corresponding Shannon's entropy (H) can be computed.

DISCUSSION

The novel analyses described in this article provide a considerable amount of new information about how psilocybin affects brain activity. In summary, increased variance in the BOLD signal was observed in the bilateral hippocampi and ACC and the temporal dynamics of these increases corresponded well with the rapid pharmacodynamics of intravenous psilocybin [Carhart-Harris *et al.*, 2012, 2013]. Decreased low frequency power and frequency scaling exponent (indicative of a less correlated signal) were observed in higher-level association regions accompanied by an increase in the point process rate at the default mode network. Perhaps the most novel and intriguing aspect of the present analyses was our assessment of dynamical functional connectivity within a simple hippocampal/ACC network. Specifically, a greater diversity of connectivity motifs was observed after psilocybin, reflecting increased entropy in this system's dynamical behavior. Overall these quantities demonstrate an increase in the dynamical repertoire (i.e., new states) in the brain under psilocybin as well as an increase in the rate at which the repertoire is examined.

We first interpret the changes described in the results section from a purely dynamical point of view. The results presented in Figure 1 represent a larger variance in the "mean field activity" of the hippocampus and ACC. This change in variance is the expression of an increased amplitude of the BOLD signal fluctuations in these regions. From a statistical physics point of view, increased signal amplitude implies increased synchronization and so increased correlated activity in the source structures. This is also reflected in the analysis of the repertoire of connectivity states in a hippocampal-ACC network (discussed in Figs. 4 and 5). These two results together reveal an increased variability in the collective repertoire (i.e. a larger number of motifs) of metastable states [Tognoli and Kelso, 2014] within the psychedelic state.

The increased amplitude fluctuations in the hippocampus are particularly intriguing given early depth electroencephalography (EEG) work that recorded similar abnormalities in hippocampal activity after LSD and mescaline [Monroe and Heath, 1961; Schwarz *et al.*, 1956]. Similar increases in oscillation amplitude have also been observed in the hippocampus using depth EEG recordings in patients showing nondrug-induced psychotic symptoms [Heath, 1954; Sem-Jacobsen *et al.*, 1956] and bursts of high amplitude activity have been seen in human rapid-eye movement (REM) sleep [Cantero *et al.*, 2003]. Moreover, other imaging modalities have implicated increased hippocampal activity in psychosis [e.g., Friston *et al.*, 1992] and REM sleep [Braun *et al.*, 1997; Miyauchi *et al.*, 2009]. Given that phenomenological similarities have previously been noted between the psychedelic, psychotic and dream states [Carhart-Harris, 2007; Carhart-Harris and Nutt, 2014], it is intriguing to consider whether altered hippocampal activity may be an important common property of these states.

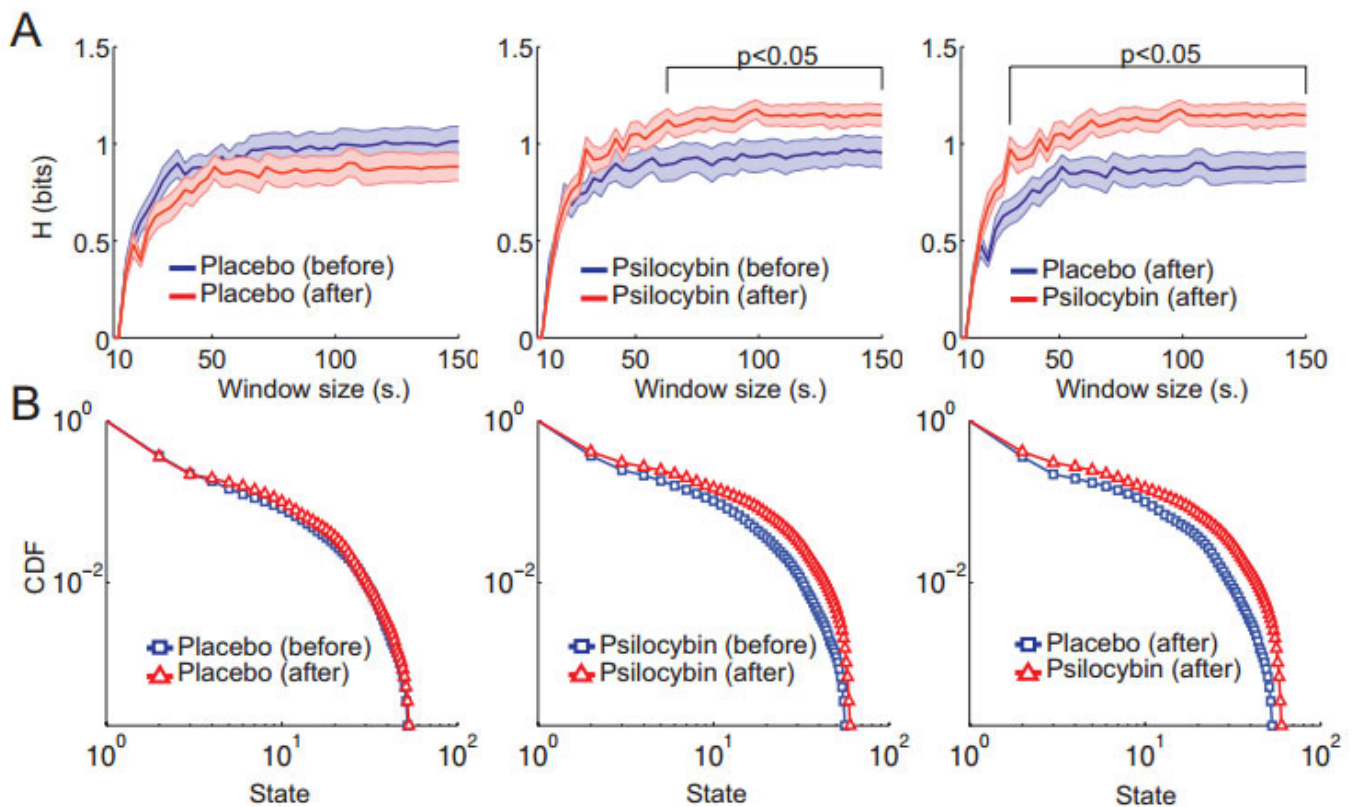


Figure 5. Dynamical functional connectivity entropy increased after psilocybin infusion. **A)** Entropy of connectivity states (H , defined following the procedure outlined in Figure 4 and computed at different time window lengths) are plotted for the comparisons placebo before versus after, psilocybin before versus after and psilocybin after versus placebo after (mean \pm SEM). **B)** The probability distributions for the (ranked) dynamical functional connectivity states across all conditions. Histograms were obtained pooling states across subjects and window sizes.

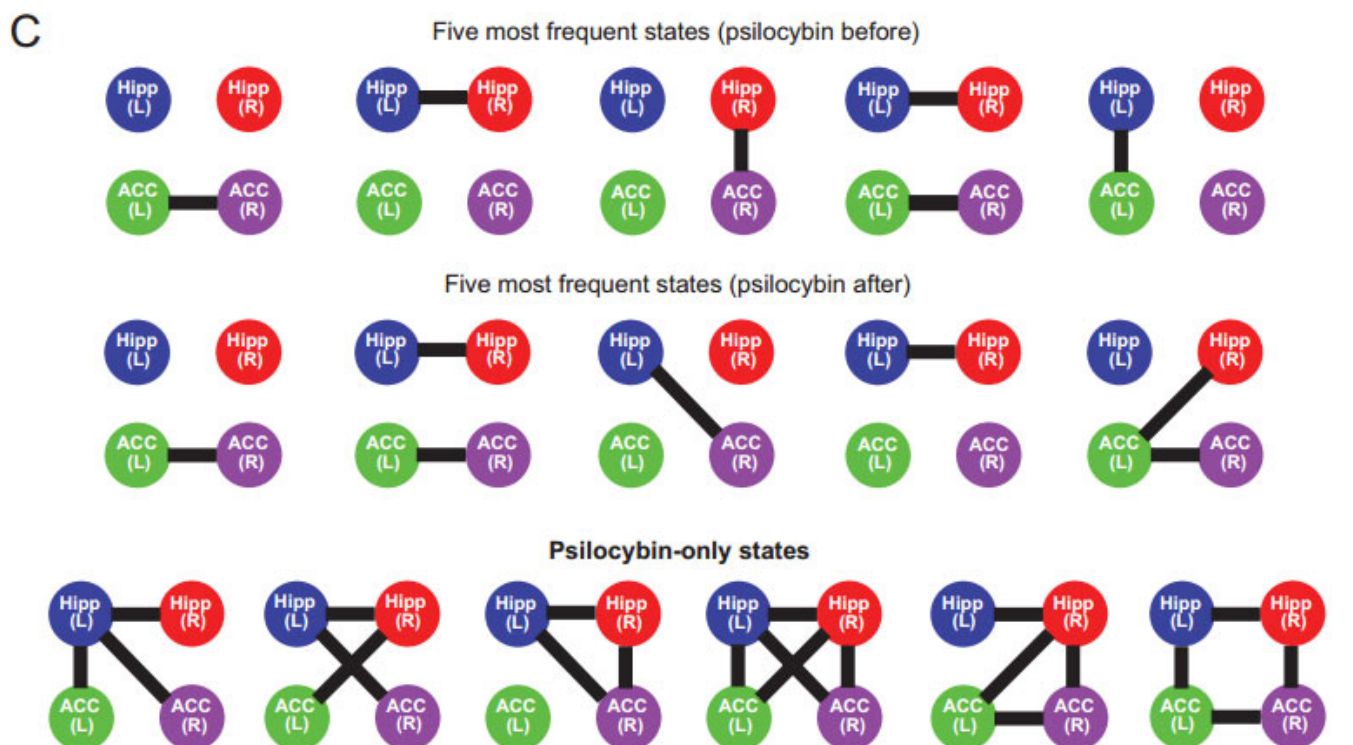


Figure 5C. First row: five most frequent connectivity states before the infusion of psilocybin. Second row: five most frequent states after the infusion of psilocybin. Third row: states observed only after the infusion of psilocybin, but absent before the infusion and in the placebo condition. In all cases the lines are used to indicate a significant transient functional connectivity between two nodes.

It has long been claimed that the psychedelic (translated "mind-revealing" [Huxley *et al.*, 1977]) state is an expanded state of consciousness in which latent psychological material can emerge into consciousness [Cohen, 1967] and novel associations can form. Indeed, this was the original rationale for the use of LSD in psychotherapy [Busch and Johnson, 1950]. It has also been claimed that psychedelics may be able to assist the

creative process, for example, by promoting divergent thinking and remote association [Fadiman, 2011]. Thus, the increased repertoire of metastable states observed here with psilocybin may be a mechanism by which these phenomena occur [see also Carhart-Harris *et al.*, 2014].

It was also interesting that under psilocybin, more interhemispheric dynamical correlations were detected in the hippocampal/ACC network (Fig. 5C). Recent electrophysiological work in mice has shown that layer five pyramidal neurons (the primary cellular units implicated in the action of psychedelic drugs [Muthukumaraswamy *et al.*, 2013]) that are sensitive to serotonin 2A receptor mediated excitation (the primary pharmacological process implicated in the action of psychedelics [Muthukumaraswamy *et al.*, 2013]) are disproportionately those pyramidal neurons that project interhemispherically [Avesar and Gullledge, 2012]. Thus, altered interhemispheric communication may be an important component of the mechanism of action of psychedelics.

Concerning the low frequency fluctuations results, these were consistent with our earlier work with MEG in which we observed decreased oscillatory power in neural fields in high-level cortical regions in the 1 to 100 Hz frequency range [Muthukumaraswamy *et al.*, 2013]. In the present analysis, decreased low frequency power in the 0.01 to 0.1 Hz range was found and again, these effects were localized to consistent high-level cortical regions. Low frequency fluctuations in BOLD are known to correlate with neuronal parameters such as fluctuating gamma power and infraslow fluctuations in local field potentials [Pan *et al.*, 2013]. The slower beta band also shows positive correlations with fMRI fluctuations in key DMN regions [Laufs *et al.*, 2003], whereas both alpha and beta apparently inhibit large-scale cortical BOLD coherence [Tagliazucchi *et al.*, 2012b]. Thus, it seems that a primary action of psilocybin, and likely other psychedelics [Riba *et al.*, 2002], is to cause a generalized desynchrony and loss of oscillatory power in higher level cortical regions - likely via serotonin 2A receptor mediated excitation of deep-layer pyramidal neurons in these regions [Muthukumaraswamy *et al.*, 2013]. However, the high amplitude activity detected in the hippocampi and ACC (Fig. 1) suggests that this desynchronizing effect does not generalize to these deeper structures.

The frequency domain result was further examined by a separate analysis of the point-process rate and interval distributions (see Fig. 3). The RSNs which exhibited the most significant changes correspond to higher brain systems such as the DMN, executive control and attention networks and not primary sensory and motor networks. This outcome is consistent with the regional distribution of serotonin 2A receptors [Erritzoe *et al.*, 2009], the receptors implicated in psilocybin's psychedelic action [Vollenweider *et al.*, 1998]. These receptors are concentrated in higher level cortical regions (e.g. the highest distribution in humans is in the PCC [Carhart-Harris *et al.*, 2013; Erritzoe *et al.*, 2009]) and are relatively less prevalent in the sensori-motor cortex. That the default mode network has consistently been implicated in the action of psilocybin is also intriguing given its association with self-reflection [Gusnard *et al.*, 2001] and selfhood more generally [Carhart-Harris and Friston, 2010]. It is likely to be relevant therefore that one of the most commonly reported features of an intense psychedelic experience is a compromised sense of selfhood typically described as "ego dissolution" or "ego disintegration" [Carhart-Harris *et al.*, 2014; Klee, 1963; Savage, 1955].

A potential limitation of our study arises from the possibility that psilocybin modifies the coupling between neuronal sources and hemodynamic activity as measured with fMRI (i.e. the hemodynamic response function). However, we note that there exists no evidence for this so far and, on the other hand, there exists ample direct electrophysiological evidence showing that psilocybin modifies brain activity in a way compatible with our findings [Muthukumaraswamy *et al.*, 2013].

Another limitation stems from the limited number of regions included in the definition of dynamical states. This limited number is required to perform an exhaustive counting of all possible states, however, differences in the motifs between conditions (Fig. 5) could arise by direct influence of regions outside the scope of the analysis, which are directly wired to a pair of nodes. Future work should address this limitation and attempt to track those regions to obtain a more complete picture of how dynamical states differ after and before psilocybin infusion.

Finally, it is important to offer some cautionary notes on the analyses documented in this article. Mapping relatively transient dynamical motifs based on resting state fMRI data is a new and exploratory technique. Thus, further work is required to clarify its functional meaning. More specifically, given the Zipf-like behavior of the state distribution probability (Fig. 5B), states that appear rarely (i.e. at the tail of a power law

distribution) cannot be trivially tested using the usual statistical significance, i.e. they do not fit easily into the standard frequentist framework of *t*-test statistics, in contrast to well established functional connectivity analyses that represent an average over prolonged periods of time. Thus, further mathematical testing and empirical work is required before we can begin to make confident predictions about the functional relevance of outcomes derived from their application.

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